

GP/3725

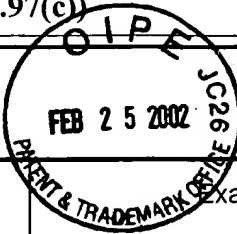
**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
5453.00009

**RECEIVED**

In Re Application Of: **Leward Nile Smith**



**MAR 4 - 2002**

Serial No.  
**09/970,060**

Filing Date  
**October 3, 2001**

Examiner

TECHNOLOGY CENTER 3700  
3725

Title: **MULTI-FUNCTIONAL TOOL ASSEMBLY FOR PROCESSING TOOL OF WASTE PROCESSING MACHINE**

Address to:

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
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TECHNOLOGY CENTER R3700  
Art Unit  
3725

**MULTI-FUNCTIONAL TOOL ASSEMBLY FOR PROCESSING TOOL OF WASTE PROCESSING MACHINE**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
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**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited  
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Washington, D.C. 20231.

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Daniel H. Bliss

\_\_\_\_\_  
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*\*This certificate may only be used if paying by deposit account.*

\_\_\_\_\_  
Signature

Dated: December 31, 2001

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